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PATENT & TRADEMARK OFFICE  
JUL 15 2004

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON THE DATE INDICATED BELOW.

*Dawn Parks*

DATE:

*7/12/04*

PATENT

BOX ISSUE FEE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Patent Application of Shinya Abe et al.	: Group Art Unit 1774
Conf. No.:	8847	: Allowed: June 30, 2004
Appln. No:	09/963,233 ✓	: Examiner: Elizabeth Evans Mulvaney
Filed:	September 25, 2001	: Attorney Docket
Title:	OPTICAL DISC AND METHOD FOR MANUFACTURING THE OPTICAL DISC:	: No. 10407-9 (A1028MT-US1)

**COMMUNICATION AFTER ALLOWANCE**

On October 24, 2002, Applicants filed with the U.S. Patent and Trademark Office an Information Disclosure Statement and form PTO/SB/08A with reference. The form PTO/SB/08A initialed by the Examiner has not been received by Applicants. Accordingly, it is respectfully requested that the Examiner initial and return the PTO/SB/08A form filed on October 24, 2002. Duplicate copies of the Information Disclosure Statement, PTO/SB/08A form and date stamped postcard from the U.S. Patent and Trademark Office are enclosed.

Respectfully submitted,

*July 9, 2004*  
(Date)

By:

*WILLIAM W. SCHWARZE*

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ATTY Wu,SECY USPATENT RETURN POSTCARD  
ATTY DKT # 10407-945 TODAY'S MAILING DATE 10/24/04

EXPRESS MAIL #

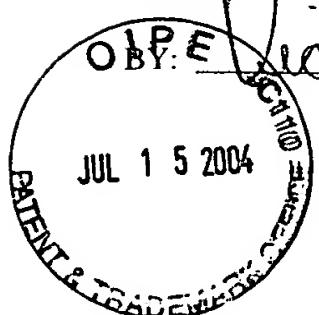
PAT APP/PATENT/REEXAM/INTF# 09/963,233 CERT OF MAIL/CERT OF SERVICEOF Shinya Abe, et al.FOR: Optical Disc + Method for Manufacturing the Optical Disc

RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:

PAT AP(Prov/Non-Prov/Des/Reissue)  CPA REQUEST  
 DECL & POW(Executed/Unexecuted)  AMEND/REQ RECONS/ RESTR. REQ. RESPONSE  
 PAGES TOTAL TEXT  RESPONSE TO MISSING PARTS  
 TOTAL # CLAIMS  X INF/PNSC/STMT. PTO-1449 &  REFS  
 SHEETS DRAWING (FORMAL/INFORMAL)  PET. EXP. TIME  MONTHS  
 SEQUENCE LISTING (PAPER COPY/DISK)  ASSIGN/CHG NAME/MERGER/SEC. INT  
 COMPUTER CODE  PTO-1495 FORM  
 PRELIMINARY AMENDMENT  VERB STATE - SMALL ENTITY  
 PRIORITY DOC.  NOTICE APPEAL/APPEAL BRIEF/(3 COPIES)  
 COUNTRY  SUPPL. DECL.  
 NO.  FEE AUTH (FINAL/MAINT/DISCLAIMER)  
 TRANSMITTAL LTR (US/PCT/NAT'L PHASE)  REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)  
 REV/APPT OF ATTORNEY  OTHER (PAPER TITLE)

TOTAL FEES AUTH CHARGE DEP AGT. # 50-1047 \$ 0

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED VIA THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.



DATE: 10/24/02

PATENT  
BOX NON-FEE AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of : Group Art Unit: 2651  
Shinya Abe *et al.* :  
Conf. No.: 8847 :  
Appln. No.: 09/963,233 : Examiner: Tan X. Dinh  
Filed: September 25, 2001 :  
For: OPTICAL DISC AND METHOD FOR : Attorney Docket  
MANUFACTURING THE OPTICAL DISK : No. 10407-009US  
: (A1028MT-US1)

INFORMATION DISCLOSURE STATEMENT

It is requested that the enclosed reference listed on the attached Information Disclosure Citation Form PTO/SB/08A be considered by the Patent Examiner in connection with the above-identified application and be made of record therein.

Certification Under 37 C.F.R. § 1.97(e)(1)

I hereby certify that the enclosed reference was first cited in a Communication dated August 12, 2002 from the Taiwanese Patent Office concerning counterpart Taiwan Application No. 90124087, not more than three months prior to the filing of this Statement.

Independent consideration and acknowledgment of the enclosed reference are respectfully requested.

Respectfully submitted,

**SHINYA ABE *et al.***

October 24, 2002  
(Date)

By:

William W. Schwarze

Registration No. 25,918

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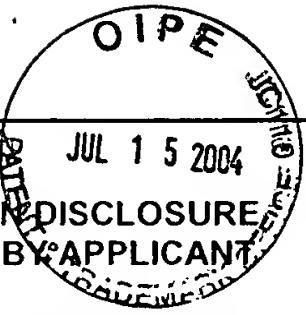
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WWS:msb  
Enclosures



<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/963,233
				<b>Filing Date</b>	September 25, 2001
				<b>First Named Inventor</b>	Shinya Abe et al.
				<b>Group Art Unit</b>	2651
				<b>Examiner Name</b>	
Sheet	1	of	1	<b>Attorney Docket Number</b>	10407-0009US (A 1028MT-US1)

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T, 

Examiner Signature		Date Considered	
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